

## PATENT COOPERATION TREATY

PCT

## NOTIFICATION OF ELECTION

(PCT Rule 61.2)

From the INTERNATIONAL BUREAU

To:

Commissioner  
 US Department of Commerce  
 United States Patent and Trademark  
 Office, PCT  
 2011 South Clark Place Room  
 CP2/5C24  
 Arlington, VA 22202  
 ETATS-UNIS D'AMERIQUE  
 in its capacity as elected Office

<b>Date of mailing</b> (day/month/year) 01 May 2001 (01.05.01)	
<b>International application No.</b> PCT/IB00/01269	<b>Applicant's or agent's file reference</b> 1999ch017
<b>International filing date</b> (day/month/year) 08 September 2000 (08.09.00)	<b>Priority date</b> (day/month/year) 08 September 1999 (08.09.99)
<b>Applicant</b> KOHLER, Achim et al	

1. The designated Office is hereby notified of its election made:

☒ in the demand filed with the International Preliminary Examining Authority on:  
 24 January 2001 (24.01.01)

☐ in a notice effecting later election filed with the International Bureau on:

2. The election ☒ was  
☐ was not

made before the expiration of 19 months from the priority date or, where Rule 32 applies, within the time limit under Rule 32.2(b).

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland  Facsimile No.: (41-22) 740.14.35	Authorized officer  S. Mafla  Telephone No.: (41-22) 338.83.38
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## PATENT COOPERATION TREATY

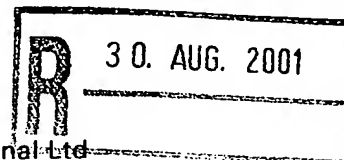
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From the INTERNATIONAL BUREAU

NOTIFICATION OF THE RECORDING  
OF A CHANGE(PCT Rule 92bis.1 and  
Administrative Instructions, Section 422)

To:



D'HAEMER, Jan  
Clariant International Ltd  
Rothausstrasse 61  
CH-4132 Muttenz  
SUISSE

Date of mailing (day/month/year) 21 August 2001 (21.08.01)	<b>IMPORTANT NOTIFICATION</b>
Applicant's or agent's file reference 1999ch017	
International application No. PCT/IB00/01269	International filing date (day/month/year) 08 September 2000 (08.09.00)

## 1. The following indications appeared on record concerning:

☒ the applicant ☐ the inventor ☐ the agent ☐ the common representative

Name and Address CLARIANT INTERNATIONAL LTD Rothausstrasse 61 CH-4132 Muttenz Switzerland	State of Nationality CH	State of Residence CH
	Telephone No. + +41 61 469 5240	
	Facsimile No. + +41 61 469 6588	
	Teleprinter No.	

## 2. The International Bureau hereby notifies the applicant that the following change has been recorded concerning:

☒ the person ☒ the name ☒ the address ☒ the nationality ☒ the residence

Name and Address CLARIANT FINANCE (BVI) LIMITED Citco Building, Wickhams Cay P.O. Box 662, Road Town Tortola Virgin Islands, British	State of Nationality **	State of Residence **
	Telephone No. + +41 61 469 5240	
	Facsimile No. + +41 61 469 6588	
	Teleprinter No.	

## 3. Further observations, if necessary:

## 4. A copy of this notification has been sent to:

☒ the receiving Office ☐ the designated Offices concerned  
☐ the International Searching Authority ☒ the elected Offices concerned  
☒ the International Preliminary Examining Authority ☐ other:

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland	Authorized officer DELMAS Dominique
Facsimile No.: (41-22) 740.14.35	Telephone No.: (41-22) 338.83.38

## PATENT COOPERATION TREATY

PCT

From the INTERNATIONAL BUREAU

NOTIFICATION OF THE RECORDING  
OF A CHANGE(PCT Rule 92bis.1 and  
Administrative Instructions, Section 422)

To:

D'HAEMER, Jan  
Clariant International Ltd  
Rothausstrasse 61  
CH-4132 Muttenz  
SUISSE

Date of mailing (day/month/year) 27 June 2002 (27.06.02)	<b>IMPORTANT NOTIFICATION</b>
Applicant's or agent's file reference 1999ch017	
International application No. PCT/IB00/01269	International filing date (day/month/year) 08 September 2000 (08.09.00)

## 1. The following indications appeared on record concerning:

☒ the applicant    ☐ the inventor    ☐ the agent    ☐ the common representative

Name and Address CLARIANT INTERNATIONAL LTD Rothausstrasse 61 CH-4132 Muttenz Switzerland	State of Nationality **	State of Residence **
	Telephone No. ++41 61 469 5240	
	Facsimile No. ++41 61 469 6588	
	Teleprinter No.	

## 2. The International Bureau hereby notifies the applicant that the following change has been recorded concerning:

☐ the person    ☐ the name    ☐ the address    ☐ the nationality    ☐ the residence

Name and Address CLARIANT FINANCE (BVI) LIMITED Citco Building, Wickhams Cay P.O. Box 662, Road Town Tortola Virgin Islands, British	State of Nationality **	State of Residence **
	Telephone No.	
	Facsimile No.	
	Teleprinter No.	

## 3. Further observations, if necessary:

The applicant identified in Box 1 is to be considered as applicant for JP and KR only, since he assigned his rights for all designated States except US, JP and KR to a new applicant as indicated in Box 2.

## 4. A copy of this notification has been sent to:

<input checked="" type="checkbox"/> the receiving Office	<input type="checkbox"/> the designated Offices concerned
<input type="checkbox"/> the International Searching Authority	<input checked="" type="checkbox"/> the elected Offices concerned
<input checked="" type="checkbox"/> the International Preliminary Examining Authority	<input type="checkbox"/> other:

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland	Authorized officer  Dominique DELMAS
Facsimile No.: (41-22) 740.14.35	Telephone No.: (41-22) 338.83.38

PCT

## INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference <b>1999ch017</b>	<b>FOR FURTHER ACTION</b> see Notification of Transmittal of International Search Report (Form PCT/ISA/220) as well as, where applicable, item 5 below.	
International application No. <b>PCT/IB 00/ 01269</b>	International filing date (day/month/year) <b>08/09/2000</b>	(Earliest) Priority Date (day/month/year) <b>08/09/1999</b>
Applicant <b>CLARIANT INTERNATIONAL LTD et al.</b>		

This International Search Report has been prepared by this International Searching Authority and is transmitted to the applicant according to Article 18. A copy is being transmitted to the International Bureau.

This International Search Report consists of a total of 03 sheets.

☒ It is also accompanied by a copy of each prior art document cited in this report.

## 1. Basis of the report

- a. With regard to the **language**, the international search was carried out on the basis of the international application in the language in which it was filed, unless otherwise indicated under this item.

☐ the international search was carried out on the basis of a translation of the international application furnished to this Authority (Rule 23.1(b)).

- b. With regard to any **nucleotide and/or amino acid sequence** disclosed in the international application, the international search was carried out on the basis of the sequence listing :

☐ contained in the international application in written form.

☐ filed together with the international application in computer readable form.

☐ furnished subsequently to this Authority in written form.

☐ furnished subsequently to this Authority in computer readable form.

☐ the statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.

☐ the statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished

2. ☐ **Certain claims were found unsearchable** (See Box I).

3. ☐ **Unity of invention is lacking** (see Box II).

4. With regard to the **title**,

☒ the text is approved as submitted by the applicant.

☐ the text has been established by this Authority to read as follows:

5. With regard to the **abstract**,

☒ the text is approved as submitted by the applicant.

☐ the text has been established, according to Rule 38.2(b), by this Authority as it appears in Box III. The applicant may, within one month from the date of mailing of this international search report, submit comments to this Authority.

6. The figure of the **drawings** to be published with the abstract is Figure No.

☐ as suggested by the applicant.

☐ because the applicant failed to suggest a figure.

☐ because this figure better characterizes the invention.

☒ None of the figures.

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 D21H19/28 D21H21/30

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 D21H

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	✓ EP 0 624 687 A (CIBA GEIGY AG) 17 November 1994 (1994-11-17) cited in the application examples 8,9	1,2,7-9
X	✓ US 3 779 791 A (PLOETZ T ET AL) 18 December 1973 (1973-12-18) cited in the application column 2, line 32 - line 43	1,7,9
X	✓ US 5 935 384 A (TANIGUCHI KENJI) 10 August 1999 (1999-08-10) cited in the application claims 14,18	7
	--- -/--	

☒ Further documents are listed in the continuation of box C.☒ Patent family members are listed in annex.

## \* Special categories of cited documents :

\*A\* document defining the general state of the art which is not considered to be of particular relevance

\*E\* earlier document but published on or after the international filing date

\*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

\*O\* document referring to an oral disclosure, use, exhibition or other means

\*P\* document published prior to the international filing date but later than the priority date claimed

\*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

\*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

\*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

\*&amp;\* document member of the same patent family

Date of the actual completion of the international search

14 November 2000

Date of mailing of the international search report

24/11/2000

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

Songy, 0

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A ✓	EP 0 192 600 A (SANDOZ AG ;SANDOZ AG (DE); SANDOZ AG (AT)) 27 August 1986 (1986-08-27) cited in the application the whole document ---	1-11
A ✓	DE 25 40 878 A (HUELS CHEMISCHE WERKE AG) 24 March 1977 (1977-03-24) the whole document -----	1-11

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/IB 00/01269

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
EP 0624687	A	17-11-1994	GB 2277749 A	09-11-1994
			AT 177164 T	15-03-1999
			AU 668296 B	26-04-1996
			AU 6195294 A	10-11-1994
			BR 9401913 A	13-12-1994
			CA 2123054 A	09-11-1994
			CN 1107918 A	06-09-1995
			CZ 9401133 A	15-12-1994
			DE 69416716 D	08-04-1999
			DE 69416716 T	02-09-1999
			ES 2129612 T	16-06-1999
			FI 942130 A	09-11-1994
			HU 67380 A, B	28-04-1995
			JP 6322697 A	22-11-1994
			MX 9403326 A	31-01-1995
			NZ 260472 A	26-10-1994
			RU 2129180 C	20-04-1999
			US 5622749 A	22-04-1997
			ZA 9403149 A	08-11-1994
US 3779791	A	18-12-1973	DE 1761775 A	14-10-1971
			BE 735646 A	16-12-1969
			FR 2012346 A	20-03-1970
			GB 1284604 A	09-08-1972
			NL 6909693 A	07-01-1970
US 5935384	A	10-08-1999	JP 9154764 A	17-06-1997
EP 0192600	A	27-08-1986	DE 3502038 A	24-07-1986
			AT 44785 T	15-08-1989
			DE 3664499 D	24-08-1989
			JP 61174269 A	05-08-1986
			US 4717502 A	05-01-1988
			ZA 8600526 A	26-08-1987
DE 2540878	A	24-03-1977	NONE	

## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US00/34542

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(7) : C07C 37/74; C08G 8/26; C08L 61/14; C09K 3/00

US CL : 252/182.24, 182.25; 427/411; 523/424; 528/155; 568/724

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 252/182.24, 182.25; 427/411; 523/424; 528/155; 568/724

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched  
noneElectronic data base consulted during the international search (name of data base and, where practicable, search terms used)  
none

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X --- Y	JP 60261639 A (DAINIPPON INK CHEM KK) 24 December 1985, abstract.	44-46, 51, 57, 58 ----- 47, 48, 50
Y	US Re. 26,881 A (KREIBICH ET AL.) 19 May 1970, Example 1; and column 2, line 70 - column 3, line 5.	47, 48
Y	US 4,124,554 A (FRY) 07 November 1978, Example 1.	50
A	US 3,048,508 A (BOINEY ET AL.) 07 August 1962, entire document.	1-64
A	US 3,920,573 A (VEGTER ET AL.) 18 November 1975, entire document.	1-64

☒ Further documents are listed in the continuation of Box C. ☐ See patent family annex.

* Special categories of cited documents:	*T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
*A* document defining the general state of the art which is not considered to be of particular relevance	*X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
*E* earlier document published on or after the international filing date	*Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
*L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	*G* document member of the same patent family
*O* document referring to an oral disclosure, use, exhibition or other means	
*P* document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search  
07 MARCH 2000Date of mailing of the international search report  
11 APR 2001Name and mailing address of the ISA/US  
Commissioner of Patents and Trademarks  
Box PCT  
Washington, D.C. 20231

Authorized officer

RICHARD D. LOVERING



## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US00/34542

## C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT


Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 4,240,968 A (QUINN ETAL.) 23 December 1980, entire document.	1-64
A	US 4,337,334 A (SHIMIZU ET AL.) 29 June 1982, entire document.	1-64

## PATENT COOPERATION TREATY

## PCT

## INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference 1999ch017	<b>FOR FURTHER ACTION</b> See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416)	
International application No. PCT/IB00/01269	International filing date (day/month/year) 08/09/2000	Priority date (day/month/year) 08/09/1999
International Patent Classification (IPC) or national classification and IPC D21H19/28		
Applicant CLARIANT FINANCE (BVI) LTD et al.		
<p>1. This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.</p> <p>2. This REPORT consists of a total of 6 sheets, including this cover sheet.</p> <p><input type="checkbox"/> This report is also accompanied by ANNEXES, i.e. sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).</p> <p>These annexes consist of a total of sheets.</p>		
<p>3. This report contains indications relating to the following items:</p> <ul style="list-style-type: none"><li>I <input checked="" type="checkbox"/> Basis of the report</li><li>II <input type="checkbox"/> Priority</li><li>III <input type="checkbox"/> Non-establishment of opinion with regard to novelty, inventive step and industrial applicability</li><li>IV <input type="checkbox"/> Lack of unity of invention</li><li>V <input checked="" type="checkbox"/> Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement</li><li>VI <input type="checkbox"/> Certain documents cited</li><li>VII <input checked="" type="checkbox"/> Certain defects in the international application</li><li>VIII <input checked="" type="checkbox"/> Certain observations on the international application</li></ul>		
Date of submission of the demand  24/01/2001	Date of completion of this report  04.12.2001	
Name and mailing address of the international preliminary examining authority:   European Patent Office D-80298 Munich Tel. +49 89 2399 - 0 Tx: 523656 epmu d Fax: +49 89 2399 - 4465	Authorized officer  Naeslund, P  Telephone No. +49 89 2399 8614	



**INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT**

International application No. PCT/IB00/01269

**I. Basis of the report**

1. With regard to the **elements** of the international application (*Replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to this report since they do not contain amendments (Rules 70.16 and 70.17)*):

**Description, pages:**

1-28 as originally filed

**Claims, No.:**

1-11 as originally filed

2. With regard to the **language**, all the elements marked above were available or furnished to this Authority in the language in which the international application was filed, unless otherwise indicated under this item.

These elements were available or furnished to this Authority in the following language: , which is:

- ☐ the language of a translation furnished for the purposes of the international search (under Rule 23.1(b)).
- ☐ the language of publication of the international application (under Rule 48.3(b)).
- ☐ the language of a translation furnished for the purposes of international preliminary examination (under Rule 55.2 and/or 55.3).

3. With regard to any **nucleotide and/or amino acid sequence** disclosed in the international application, the international preliminary examination was carried out on the basis of the sequence listing:

- ☐ contained in the international application in written form.
- ☐ filed together with the international application in computer readable form.
- ☐ furnished subsequently to this Authority in written form.
- ☐ furnished subsequently to this Authority in computer readable form.
- ☐ The statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.
- ☐ The statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished.

4. The amendments have resulted in the cancellation of:

- ☐ the description, pages:
- ☐ the claims, Nos.:
- ☐ the drawings, sheets:

5. ☐ This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed (Rule 70.2(c)):

**INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT**

International application No. PCT/IB00/01269

*(Any replacement sheet containing such amendments must be referred to under item 1 and annexed to this report.)*

6. Additional observations, if necessary:

**V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement**

**1. Statement**

Novelty (N)	Yes:	Claims	3-6
	No:	Claims	1,2,7-9
Inventive step (IS)	Yes:	Claims	NONE
	No:	Claims	1-9
Industrial applicability (IA)	Yes:	Claims	1-9
	No:	Claims	NONE

**2. Citations and explanations**  
**see separate sheet**

**VII. Certain defects in the international application**

The following defects in the form or contents of the international application have been noted:  
**see separate sheet**

**VIII. Certain observations on the international application**

The following observations on the clarity of the claims, description, and drawings or on the question whether the claims are fully supported by the description, are made:  
**see separate sheet**

**Re Item V**

*Reasoned statement under Rule 66.2(a)(ii) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement*

Reference is made to the following documents:

- D1: EP-A-0 624 687 cited in the application
- D2: US-A-3 779 791 cited in the application
- D3: US-A-5 935 384 cited in the application
- D4: EP-A-0 192 600 cited in the application
- D5: DE-A-25 40 878

1. Document D1 (see examples 8 and 9) discloses a process for the production of surface-finished paper including the application of a polyethylene glycol with a molecular weight of 1500. It is considered that the value stated for the molecular weight has to be interpreted as an (weight) average value which includes smaller deviations therefrom; i.e also within the range " $>1500$ ". Further: a treatment in a size press is a kind of (pre) surface-finishing; after a size press in a paper machine there come rolls and the paper is dried. Therefore this document at least implicitly would appear novelty-destroying against claim 1 (Art. 33(2) PCT). This finding is extended to at least claims 2, 7-9.

Moreover, also if one would consider that there is a difference in the molecular weight of the polyethylene glycol, such a difference in any case can not provide an inventive step to claim 1, as there are no particular effects obtained, directly derivable from the application of a higher molecular weight, discernible in view of D1, or hinted at in the application. To one skilled in the art it does not amount to more than a normal design procedure to adjust the chain lengths of the polymers included in the active ingredient. That higher molecular weights were already applied is also apparent from documents D2 (see example), D4 (see in particular example 2) and D5 (see in particular the claim). The subject-matter of claim 1 therefore does not involve an inventive step and does not satisfy the criterion set forth in Article 33(3) PCT.

2. It could also be argued that claim 1 is also not novel in view of D2 (see example). The molecular weight (2000) given is clearly within the range as stated in present claim 1 (Art. 33(2) PCT). Treatment between two-rolls before drying implies that a pressure is applied and that the surface inherently becomes treated. The same would appear to be the case with claims 7 and 9.
3. As to rest of the claims, in the view of the cited prior art document D3 (see in particular claims 14 and 18) included they would not appear to be able to add any novel and inventive features in view of the combinations already discussed above and therefore would not appear to fulfil the requirements of Art. 33(3) PCT.
4. For the assessment of the present claims on the question whether they are industrially applicable, no particular reasoning would appear necessary to give. The industrial application would appear to be evident (Art. 33(4) PCT).

**Re Item VII**

*Certain defects in the international application*

1. The two-part form should be (correctly) applied to each independent claim. It is not understood on which prior art the present preambles have been based. As has been set out above several (all) features of the characterizing portions are known from prior art documents over which the applicant has drafted the claims.
2. The documents D1-D5 cited should be (correctly) acknowledged in the description. This means that it should appear from the description of the present application which features of the independent claim(s) to be filed (upon entry into the national/regional phase) are known from each of these documents.
3. The description should preferably (when national/regional legislation requires so) be adapted to any amended claim set.

**INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT - SEPARATE SHEET**

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International application No. PCT/IB00/01269

**Re Item VIII**

*Certain observations on the international application*

1. The back reference in claim 11 to claims 8 and 9 would not appear clear. There is no surface-finished board claimed in claim 8 (Art. 6 PCT).
2. It is not understood what is meant by "is simultaneously intaglio printing and offset printing paper or board" in claim 10 (Art. 6 PCT).
3. The US patent 2723306 referred in the description on page 2, line 2 is related to BEAM CURENT REGULATORS FOR CATHODE RAY TUBES and has nothing to do with surface finishing of paper or board.

## PATENT COOPERATION TREATY

PCT/IB00/01269  
CONFIRMATION COPY

PCT

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1 2. SEP 2000

ACKNOWLEDGEMENT OF RECEIPT OF  
DOCUMENTS FILED WITH THE  
INTERNATIONAL BUREAU  
AS RECEIVING OFFICED'HAEMER, Jan  
Clariant International Ltd  
Rothausstrasse 61  
CH-4132 Muttenz  
SUISSEDate of mailing  
(day/month/year)

08 September 2000 (08.09.00)

Facsimile No.: (061) 469 6588

Applicant's or agent's file reference

1999ch017

IMPORTANT COMMUNICATION

International application No.

PCT/IB00/01269

Date of receipt (day/month/year)

08 September 2000 (08.09.00)

Applicant

CLARIANT INTERNATIONAL LTD

Title of the invention SURFACE FINISHING OF PAPER OR BOARD, AND AGENT FOR THIS  
PURPOSE1. The International Bureau has received the documents/elements listed below on: 08 September 2000 (08.09.00)  
by the following means: surface mail (registered)

- ☒ PCT Request (4 pages)
- ☒ description (excluding sequence listing part) (28 pages)
- ☒ claims (2 pages)
- ☒ abstract (1 page)
- ☐ drawings
- ☐ sequence listing part of description
- ☒ fee calculation sheet
- ☐ separate authorization to charge deposit account
- ☐ cheque
- ☐ cash (in person only)
- ☒ power(s) of attorney (copy of general power: 1; separate power: 1)
- ☐ statement(s) explaining lack of signature
- ☒ priority document (1)
- ☐ separate indications concerning deposited micro-organism or other biological material
- ☐ nucleotide and/or amino acid sequence listing on diskette
- ☐ statement(s) accompanying diskette(s) containing sequence listing
- ☐ accompanying letter
- ☐ form PCT/RO/198 (RO/IB)
- ☒ PCT-EASY diskette
- ☐ other (specify):

The applicant's attention is drawn to the fact that these papers have not yet been checked by this receiving Office in respect of their compliance with the requirements of Article 11(1), that is, whether these papers meet the requirements necessary for the according of an international filing date. As soon as these papers have been checked, the applicant will be informed accordingly.

2. Additional observations (if necessary):

Name and mailing address of the receiving Office

International Bureau of WIPO  
PCT Receiving Office Section  
34, chemin des Colombettes, 1211 Geneva 20, Switzerland  
Facsimile No. (41-22) 910 06 10 (Groups 3 and 4)

Authorized officer

Agnes Grocq  
Telephone No. (41-22) 338 93 25



(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
15 March 2001 (15.03.2001)

PCT

(10) International Publication Number  
**WO 01/18310 A1**

(51) International Patent Classification<sup>7</sup>: **D21H 19/28,**  
21/30

(21) International Application Number: **PCT/IB00/01269**

(22) International Filing Date:  
8 September 2000 (08.09.2000)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:  
99810808.8 ✓ 8 September 1999 (08.09.1999) EP

(71) Applicant (for all designated States except US): **CLARI-  
ANT INTERNATIONAL LTD [CH/CH];** Rothausstrasse  
61, CH-4132 Muttenz (CH).

(72) Inventors; and

(75) Inventors/Applicants (for US only): **KOHLER, Achim**

[DE/DE]; Rothenburgerstrasse 16, D-74074 Heilbronn  
(DE). MARTIN, Gerd [DE/CH]; Schaienweg 29,  
CH-4107 Ettingen (CH). WEIGL, Christian [DE/DE];  
Petergörlstrasse 1b, D-81247 München (DE). WEIGL,  
Josef [DE/DE]; Riederstrasse 31, D-80999 München (DE).

(74) Agents: **D'HAEMER, Jan et al.;** Clariant International  
Ltd, Rothausstrasse 61, CH-4132 Muttenz (CH).

(81) Designated States (*national*): BR, CN, ID, JP, KR, NO,  
RU, US.

(84) Designated States (*regional*): European patent (AT, BE,  
CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC,  
NL, PT, SE).

Published:

— With international search report.

For two-letter codes and other abbreviations, refer to the "Guid-  
ance Notes on Codes and Abbreviations" appearing at the begin-  
ning of each regular issue of the PCT Gazette.

(54) Title: SURFACE FINISHING OF PAPER OR BOARD, AND AGENT FOR THIS PURPOSE

(57) Abstract: Surface finishing of paper or board by applying an aqueous solution ( $L_w$ ) of a surface-finishing active ingredient (W) to a hydrophilic paper or board sheet (B), in which (W) consists of ( $W_1$ ) polyethylene glycol with an average molecular weight  $M_w$  of  $> 1500$  and optionally at least one further additive which is a further finishing additive and/or a formulation additive, and the paper or board sheet surface-treated with ( $L_w$ ) is fed through smoothing rolls and dried, the surface-finished paper and surface-finished board ( $B_w$ ) produced, surface finishing agent for this purpose, and the use of the surface-finished paper or board as substrate for graphic processing.

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### SURFACE FINISHING OF PAPER OR BOARD, AND AGENT FOR THIS PURPOSE

In papermaking and board production today, particularly with high-speed papermaking machines, the achievement of increasing quality demands, such as

1. High gloss and high smoothness, with avoidance of losses of strength and so-called "calender blackening"
2. High surface strength, which do not result in interfering dusting and flaking during production and processing (particularly deposits on calender rolls during calendering or on rubber blankets during printing),
3. Improvement in the processing properties of the paper in order, for example, to prevent the "fold breaking" which often occurs
4. The tendency towards high brightness of papers and boards which has been ongoing for years
5. The demand for good ageing resistance of the papers and boards produced, particularly to the action of light and heat (in the brightness and also in the mechanical properties)
6. Suitability of the papers and boards produced for graphic processing, principally for printing, particularly as printing papers and boards,

increasingly represents a challenge to papermakers.

In order to achieve high gloss and smoothness values, high line pressures are often necessary during calendering, which can result in losses of strength of the paper and in so-called "calender blackening". This problem exists in particular in intaglio printing papers, for example in LWC/ULWC papers [LWC = Light Weight Coated; ULWC = Ultra Light Weight Coated].

In heavily coated or surface-sized papers or boards, the fibre structure is stuck together to form a cover. In US Patent 2723306, a polyethylene glycol coating is used to protect the still un-dried pigment coating (for example as "antichalking"); EP-A-192600 describes aqueous preparations which comprise an optical brightener of a defined formula from the bistriazinylaminostilbenedisulphonic acid series and a polyethylene glycol 1000 to 3000 and which serve as admixture to latex-containing paper coating compositions; US Patent 4303717 describes multilayer paper laminates for heat-peelable decals, in which polyethylene glycols are applied as release film to the release layer for better removal of the decal layer. It is possible per se to combine a polyethylene glycol in the paper composition; in this case, the majority of the polyethylene glycol remains in the waste water.

It is also known, e.g. from US patents 5935384 and 3779791, to use certain polyethylene glycols for impregnating produced paper but these impregnated papers are not subjected to any smoothing pressure treatment. Thus in US 5935384 there is described the production of disintegrable body paper, in which a body paper is impregnated with an aqueous composition comprising a humectant (in the examples the humectant is glycerol, in the description there are also mentioned some glycols, such as propylene glycol, polyethylene glycol 200 - 1000 and some other low molecular compounds) and in some cases also polyethylene glycols 1000 - 20000, and other additives, and the impregnated paper is air-dried. In US 3779791 there is described the production of sterilized paper by impregnation of calendered paper with a concentrated polyethylene glycol solution and heat-treatment at 180-200°C for a prolonged time (ca. 40 min.). Also here, as in US 5935384, there is no mention of any smoothing roll treatment of the impregnated paper. In EP 624687 A1 there are described composition of a certain optical brightener [4,4'-bis(2-sulphostyryl)-diphenyl] for the production of white pigment coating pastes for the coating of paper, or for using in the size, wherein the optical brightener composition, when in the form of an aqueous solution, according to some examples contains as a solvent, a combination of a glycol (propylene glycol, ethylene glycol) and a rather low molecular polyethylene glycol (in the respective examples polyethylene glycol 300, 600 or 1500); the solution is used in the size or combined with the other components of a coating mass and the resulting size or coating mass is applied on the paper by conventional means. Also here, as there is no mention of any smoothing roll treatment of the sized or coated paper. These processes relate to particular disintegration, sterilization or respectively optical brightener solution and application methods, and do not relate to any teaching concerned with the solution of the previously mentioned problems or with meeting the above or below mentioned requirements in papermaking and board production.

Given the constant tendency towards improving processes and increasing production efficiency and given increased environmental and waste-water consciousness, it is desired not only to improve the

paper quality, but also at the same time to avoid reductions in production efficiency and additional pollution of waste water (caused particularly, for example, by strong sizing or coating) in papermaking and paper recycling. It is particularly desired to retain the inherent character of the cellulose fibres (for example flexibility, elasticity and strength) of the support web to the greatest possible extent; it is furthermore also desired to counter yellowing caused by the action of light and/or heat to the greatest possible extent and to provide suitability for writing and for printing – in particular compressibility, smoothness, gloss, brightness and strength – as well as possible.

Surprisingly, it has now been found that a surprisingly good paper quality or board quality which meets the above requirements can be achieved with optimum utilization of the performance of the paper-making machine by application of certain solutions (W) of high-molecular-weight polyethylene glycols ( $W_1$ ) and smoothing, as defined below, to a hydrophilic paper or board surface, as defined and described below, without or with a minimum of sizing agent.

The invention relates to the process for the production of surface-finished paper and/or board, to the surface-finished paper produced or surface-finished board produced, to the surface finishing agent for this purpose, and to the use of the surface-finished papers or boards as substrate for writing, printing or other graphic uses.

A first subject-matter of the invention is thus a process for the production of surface-finished paper or board ( $B_w$ ) which is characterized in that an aqueous solution ( $L_w$ ) of a surface-finishing active ingredient (W) is applied to a hydrophilic paper or board sheet (B),  
in which (W) consists of

( $W_1$ ) polyethylene glycol with an average molecular weight  $\overline{M}_w$  of  $> 1500$

and optionally at least one further additive which is a further finishing additive and/or a formulation additive,

and the paper or board sheet surface-treated with ( $L_w$ ) is fed through smoothing rolls and dried.

A suitable paper or board sheet (B) is a support sheet made from any desired primary and/or secondary substances which are suitable for the production of paper or board, in particular made from conventional fibre material, principally cellulosic fibre material, for example from hardwood (for example maple, birch, beech, poplar), from softwood (for example pine, spruce, larch, fir), from annual plants (for example straw, jute, ramie, bagasse, flax, hemp, reed, sisal, coconut, cotton) or from textile fibres (for example rags, cotton, linen, flax, ramie, jute) or also from recycled paper production waste or from used paper, where the non-digested fibres, namely textile fibres, wood fibres and fibres from annual

plants, can be processed in a manner which is conventional per se to give pulp, for example by mechanical and/or chemical and/or thermal methods (in particular wood pulp, mechanical wood pulp, brown wood pulp, yellow straw pulp, chemical pulp, semichemical pulp and chemically digested pulp), and recycled printed paper or used paper, can, if necessary, be deinked. If desired or if necessary, the material can be bleached with conventional bleaching agents, for example reductively and/or oxidatively (for example with sodium hydrosulphite, thiourea dioxide or hydrogen peroxide). Particularly worthy of mention are the lignin-containing substrates (particularly those which contain at least 5 %, preferably at least 10 %, of lignin, based on dry fibres), principally paper containing wood pulp or semichemical pulp or board containing wood pulp or semichemical pulp. The pulp or the corresponding fibres can, if desired after blending various types of fibre and/or types of pulp with one another, for example from mechanically digested pulp and/or chemically (sulphite or sulphate method) and/or thermally digested pulp and/or combination-digested pulp (semichemical pulp), be processed further, optionally with at least 10 % of recycled paper or used paper. It is possible to use any desired conventional additives which are suitable for papermaking, such as, for example dewatering and/or retention agents, and if desired optical brighteners, dyes, sizing agents and/or fillers (for example kaolin, talc or other silicates or calcium carbonate), as can usually be admixed with the aqueous pulp composition before sheet formation.

The aqueous pulp suspension intended and formulated for the production of the web (B) can be applied in any conventional manner to the wire end, where the dewatering to the desired water content takes place. From the wire end, the web is then transported to the press section, where the water content is reduced further, for example usually in the range from 70 to 30 %, so that the moist sheet reaches the dry end with a water content of  $\leq 30$  %. After the dry end, the support sheet produced is fed through the calender (intermediate or dry calender) or otherwise through drying rolls, and can if desired be given a light surface sizing or coating, particularly – if calendering is being carried out – to the extent that it is still hydrophilic. The paper sheet can then be dried again and then rolled up. If calendered paper is being produced, calendering is carried out, after the paper has been rolled up, on the calender, for which purpose it is re-moistened in advance. However, the calendering can also be carried out, in suitable papermaking machines, immediately thereafter (i.e. without interruption by rolling-up) in a single operation during papermaking. If multilayered board is being produced, the respective lower, middle and upper layers are couched to one another in the press section before drying.

The paper or board sheet (B) to be employed in accordance with the invention is hydrophilic, in particular it has in dried form a hydrophilicity which corresponds to a water absorption capacity of  $\geq 10^\circ$  Cobb, advantageously  $\geq 15^\circ$  Cobb, for example in which the water absorption capacity is in the

range from 15 to 80° Cobb, in particular in the range from 20 to 60° Cobb [measured on the dry sheet (B)].

The paper or board sheet may, if desired, be pulp-sized and/or surface-sized, in particular to such a degree that it still has the above-mentioned hydrophilicity after drying. Any desired conventional sizing agents can be used for this purpose, for example natural products, such as starch (for example enzymatically degraded starch or other starch derivatives, for example swelling starch), carob seed flour, resin size (for example with aluminium sulphate) or carboxymethylcellulose, or fully or semi-synthetic products, such as alkylketene dimers, fatty acid anhydrides or soaps, fluorinated fatty derivatives (for example those of the "Scotchben" type) or chromium fatty acid derivatives (for example chromium stearate and/or chromium myristate, for example of the "Quilon" type), and, if desired, also products of greater hydrophobicity, such as terpene resins, petroleum cracking polymers, naphthenic derivatives, or also cationic acrylic ester copolymers, vinyl polymers, hydrophobic derivatives of polyfunctional amines and copolymers of maleic acid and vinyl monomers. Surface sizing can be carried out, for example, at an application rate which corresponds to a coating of  $\leq 10 \text{ g/m}^2$ , preferably  $\leq 8 \text{ g/m}^2$ , for example in the range from 0.05 to  $10 \text{ g/m}^2$ , particularly from 0.5 to  $8 \text{ g/m}^2$ , based on the solids content and dry substrate.

After the treatment with ( $L_w$ ) and before calendering, the paper or board sheet may, if desired, be coated, in particular light weight coated or ultra light weight coated (so long as it still has the above-mentioned hydrophilicity), and, if it is coated, it is then also calendered, with moistening (usually, for example, with steam) being carried out before the calendering. Conventional coating compositions can be employed for this purpose, in particular containing pigment, principally containing white pigment (for example kaolin, talc, diatomaceous earth, montmorillonite, attapulgite, bentonite, satin white, calcium carbonate, titanium dioxide, anhydrite, titanium dioxide/anhydrite, potassium titanate, zinc oxide or sulphate, calcium or barium sulphate, aluminium sesquioxide trihydrate, sodium silico-aluminate, etc.), and/or containing optical brighteners, or also those containing neither white pigment nor optical brighteners. For a possible coating, any desired binders which are conventional per se are suitable, for example on a vegetable or animal basis, such as, for example, casein, modified starch, cellulose size and animal size, and synthetic binders, such as polymer dispersions, styrene-butadiene latex, styrene-acrylic latex, or preparations and mixtures, such as starch in combination with calcium stearate, it being possible for white pigments to be combined, for example, with binders, such as, for example, those mentioned above, particularly styrene-butadiene latex, styrene-acrylic latex or oxidized starch, and/or with auxiliaries, such as tetrasodium pyrophosphate. In order to increase the wet tear strength of the product, the coating compositions may optionally additionally contain crosslinkable

resins, such as, for example, melamine resin precursors, principally methylolmelamines, and urea resin precursors, principally optionally cyclic ureas, such as dihydroxyethyleneurea and dimethylolurea, advantageously in combination with suitable crosslinking catalysts. The coating composition can be coated, for example, at an application rate which corresponds to application of  $\leq 10 \text{ g/m}^2$ , preferably  $\leq 8 \text{ g/m}^2$ , for example in the range from 0.5 to  $10 \text{ g/m}^2$ , principally from 0.8 to  $8 \text{ g/m}^2$ , based on the solids content and dry (oven-dry = "odry") substrate. This is advantageously followed by drying and, for the treatment with ( $L_w$ ), subsequent moistening.

Preferably no coating is carried out before calendering.

The average molecular weight  $\overline{M}_w$  of ( $W_1$ ) is advantageously in the range from 1600 to 20,000, preferably from 1800 to 8000.

As polyethylene glycols ( $W_1$ ), it is possible to use commercially available products, principally those with a narrow molecular weight distribution (for example in which  $> 99 \%$ , preferably  $> 99.6 \%$ , of the entire respective polyethylene glycol is in the molecular weight range from  $0.25 \cdot \overline{M}_w$  to  $4 \cdot \overline{M}_w$ , preferably from  $0.4 \cdot \overline{M}_w$  to  $2 \cdot \overline{M}_w$ ), in particular those which are essentially free from low-molecular-weight polyethylene glycols which are liquid or semiliquid at room temperature. The polyethylene glycols ( $W_1$ ) advantageously contain less than 5 % by weight, preferably less than 1 % by weight, of polyethylene glycol with a molecular weight of  $\leq 1000$  and less than 1 % by weight, preferably less than 0.2 % by weight, of polyethylene glycol with a molecular weight of  $\leq 800$ .

As further finishing additives in ( $W$ ) which may be present in dissolved form in ( $L_w$ ), the following, in particular, come into consideration:

( $W_2$ ) at least one dye and/or optical brightener,  
and/or ( $W_3$ ) at least one wet strength additive.

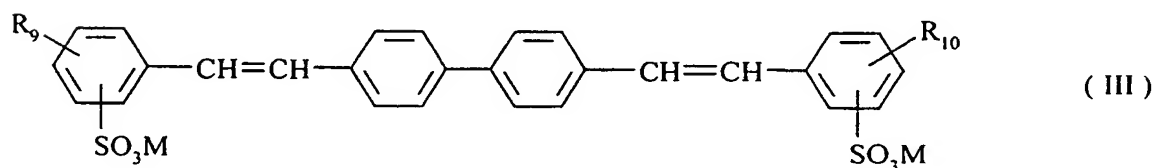
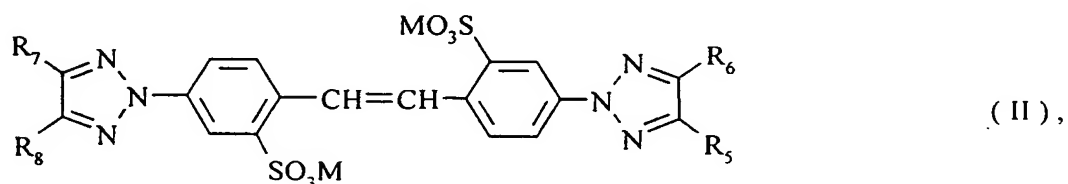
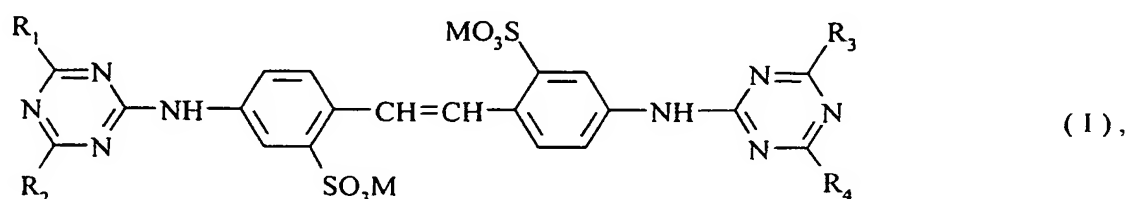
Particularly suitable as ( $W_2$ ) are

( $W_{21}$ ) water-soluble dyes  
and ( $W_{22}$ ) water-soluble optical brighteners .

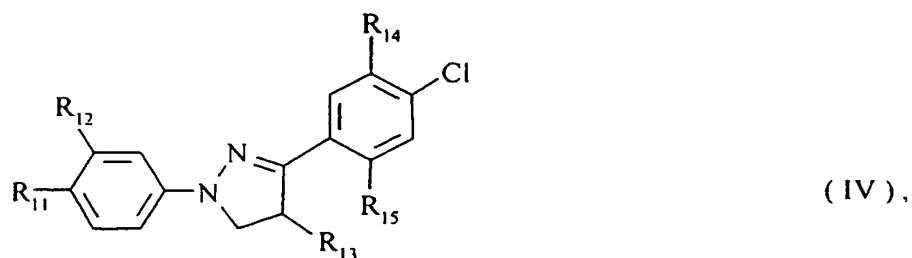
As ( $W_{21}$ ), it is possible to use any desired water-soluble dyes and dye mixtures, as generally suitable and known for dyeing paper, for example anionic or cationic dyes. Such dyes are generally known in industry and are described in large number in the specialist literature. Reference is made, in particular, to the dyes defined and described in the "Colour Index" under the names "Acid Dyes", "Direct Dyes"

and "Basic Dyes", in particular to those which are expressly recommended for the dyeing of paper, particularly direct dyes.

As (W<sub>22</sub>), it is possible to use any desired, preferably anionic optical brighteners which are water-soluble in the form of their alkali metal salts, in particular those which are known to be suitable for the optical brightening of paper, preferably those which contain from 2 to 8 anionic groups, preferably sulpho groups and/or carboxyl groups, for example from 2 to 6 sulpho groups and optionally from 2 to 4 carboxylate groups. Anionic optical brighteners, in particular those which are suitable for the optical brightening of paper, are known in industry and are also described in large number in the specialist literature. Mention may be made, for example, of brightener categories from the diaminostilbene, bisstilbyl and 1,3-diphenylpyrazoline series, for example of the following formulae:



and





in which

$R_1$ ,  $R_2$ ,  $R_3$  and  $R_4$  each, independently of one another, denote the radical of an amine or alcohol,  
 $R_5$  and  $R_7$  each, independently of one another, denote  $C_{1-2}$ -alkyl, phenyl or sulphophenyl,  
 $R_6$  and  $R_8$  each, independently of one another, denote hydrogen,  $C_{1-2}$ -alkyl, phenyl or sulphophenyl,

$R_9$  and  $R_{10}$  each, independently of one another, denote hydrogen,  $C_{1-2}$ -alkyl or -alkoxy, chlorine or  $-SO_3M$ ,

$R_{11}$  denotes a radical of the formula  $-SO_2-(NH)_m-(C_{2-4}\text{-alkylene})-SO_3M$ ,

$m$  denotes zero or 1,

$R_{12}$  denotes hydrogen,

or  $R_{11}$  and  $R_{12}$  together denote a furan-2-one ring condensed in 3-4,

$R_{13}$  denotes hydrogen or  $-CH_2-SO_3M$ ,

$R_{14}$  denotes hydrogen or chlorine,

$R_{15}$  denotes hydrogen, or if  $R_{14}$  stands for chlorine, also methyl

and  $M$  denotes hydrogen or an alkali metal cation,

where the formula (IV) contains at least one sulpho group in at least one of  $R_{11}$  and  $R_{12}$ .

If  $R_1$ ,  $R_2$ ,  $R_3$  and/or  $R_4$  stand for the radical of an alcohol, they preferably denote  $C_{1-4}$ -alkoxy or phenoxy.

$R_1$  and  $R_3$  preferably stand for anilino or mono- or disulphoanilino.

$R_2$  and  $R_4$  preferably stand for the radical of a low-molecular-weight aliphatic amine which is optionally substituted by hydroxyl, CN,  $CONH_2$  or/and  $COOM$ , or alternatively of morpholine.

The dyes and optical brighteners ( $W_2$ ) can be employed in pure (for example purified by membrane filtration) or also diluted form, in particular in the commercially available forms. Since the solutions ( $L_w$ ) to be employed in accordance with the invention are substantially electrolyte-insensitive, in particular insensitive to electrolytes as used as diluents in dyes (for example sodium chloride, potassium chloride, sodium sulphate or sodium carbonate, etc.), and are also compatible with electrolyte-free diluents (for example urea, dextrin, etc.), they are also correspondingly compatible with any dilution in ( $W_2$ ).

Of the dyes and optical brighteners ( $W_2$ ), the more water-soluble ones are preferred, in particular those with a water solubility of at least 50 g/l at 20°C and pH 7. In the case of the anionic ones, particular

preference is given to those which contain on average at least one sulpho group per benzene ring in the molecule (where fused benzene rings count individually, i.e., for example, naphthalene rings count as two benzene rings).

If dyes and/or optical brighteners ( $W_2$ ) are employed in ( $L_w$ ), it is of advantage to employ low-molecular-weight polyethylene glycols ( $W_1$ ), in particular those with an average molecular weight in the range from 1600 to 4000, preferably from 1800 to 2500.

Suitable wet strength additives ( $W_3$ ) are, in particular, ( $W_3'$ ) crosslinkable products which are reactive with aliphatic hydroxyl groups [whether those from ( $W_1$ ), or those from the substrate], for example products of the reaction of aldehydes, for example formaldehyde or biformyl (glyoxal) with nitrogen compounds containing amidic  $NH_2$  groups, principally with urea or melamines, for example methylolmelamines, methylolureas and glyoxal derivatives of urea (for example dihydroxyethyleneurea and polyhydroxylated glyoxal-urea resins), if desired in combination with suitable catalysts ( $W_3''$ ), which are, for example acids or Lewis acids, such as magnesium chloride, zinc chloride or sulphuric acid, or products of the reaction of epichlorohydrin with aliphatic mono- or oligoamines, for example with 2 to 6 carbon atoms (for example dimethylamine, ethylenediamine, propylenediamine, diethylenetriamine, ethylene-propylenetriamine or triethylenetetramine), which are optionally quaternized.

If wet strength additives ( $W_3$ ) are employed in ( $L_w$ ), it is of advantage to employ relatively high-molecular-weight polyethylene glycols ( $W_1$ ), in particular those with an average molecular weight in the range from 2000 to 20,000, preferably from 3000 to 8000.

The content of ( $W_1$ ) in ( $L_w$ ) is generally as desired and can extend up to the solubility limit of the polyethylene glycol ( $W_1$ ), for example up to 50 % by weight. The content of ( $W_1$ ) in ( $L_w$ ) is preferably in the range from 0.1 to 20 % by weight, preferably from 0.5 to 15 % by weight.

If ( $W_2$ ) is employed, the content of ( $W_2$ ) in ( $L_w$ ) is generally as desired and can extend up to the solubility limit of the respective dye or optical brightener ( $W_2$ ); the content of ( $W_2$ ) in ( $L_w$ ) is advantageously in the range from 0.1 to 68 % by weight of pure dye or pure brightener, preferably from 0.2 to 30 % by weight of pure dye or pure brightener, particularly preferably from 0.5 to 15 % by weight of pure dye or pure brightener, where, in accordance with a preferred embodiment of the invention, the proportion of pure dye or pure brightener is advantageously in the range from 1 to 200 % of ( $W_1$ ), preferably from 20 to 150 % of ( $W_1$ ).

If ( $W_3$ ) is employed, the content of ( $W_3$ ) in ( $L_w$ ) is generally as desired and can extend up to the solubility limit of the crosslinking precursor ( $W_3'$ ); the content of ( $W_3$ ) in ( $L_w$ ) is advantageously in the range from 0.1 to 30 % by weight of crosslinking precursor ( $W_3'$ ), preferably from 0.5 to 15 % by weight of crosslinking precursor ( $W_3'$ ). The weight ratio of the crosslinking precursor ( $W_3'$ ) to ( $W_1$ ) can per se be as desired; the weight ratio ( $W_3'$ )/( $W_1$ ) is advantageously not greater than 1/1, preferably not greater than 0.7/1; the weight ratio ( $W_3'$ )/( $W_1$ ) is advantageously in the range from 0.1/100 to 50/100, preferably from 0.5/100 to 40/100.

The solutions ( $L_w$ ) can have any desired pH, as is generally suitable for the surface treatment of paper or board, advantageously in the weakly acidic to weakly alkaline pH range, preferably in the pH range from 5.5 to 8, in particular from 6 to 7.5. For possible pH adjustment or correction, suitable additives may also be present in ( $W$ ), as needed, in particular

( $W_4$ ) at least one agent for pH adjustment.

As ( $W_4$ ), use can be made, in particular, of acids, bases and/or buffers, as can otherwise usually be employed per se in the course of papermaking, in particular acids, for example mineral acids, such as sulphuric acid, hydrochloric acid or phosphoric acid, or low-molecular-weight aliphatic carboxylic acids, for example with 1 to 6 carbon atoms, for example formic acid, acetic acid, lactic acid, tartaric acid, oxalic acid or citric acid, bases, for example alkali metal hydroxides, carbonates or bicarbonates, lime milk, magnesium oxide or hydroxide, ammonia or low-molecular-weight aliphatic amines, for example mono-, di- or triethanolamine or mono-, di- or triisopropanolamine, or buffers, such as, for example, mono- or disodium and/or -potassium phosphates, borax, monopotassium tartrate or sodium acetate.

The active ingredient ( $W$ ) dissolved in ( $L_w$ ) advantageously consists of ( $W_1$ ) and – if present – at least one further of the additives ( $W_2$ ), ( $W_3$ ) and ( $W_4$ ). ( $W$ ) advantageously consists of at least 30 % by weight of ( $W_1$ ) and any remainder to 100 % by weight of at least one of the additives ( $W_2$ ), ( $W_3$ ) and ( $W_4$ ). Preferred active ingredients ( $W$ ) are, in particular, those in which ( $W$ ) consists essentially exclusively of ( $W_1$ ), or those in which ( $W$ ) consists essentially of ( $W_1$ ) and ( $W_2$ ) and optionally ( $W_4$ ), where the average molecular weight  $\overline{M}_w$  of ( $W_1$ ) is in the range from 1600 to 4000, preferably from 1800 to 2500, or also those in which ( $W$ ) essentially consists of ( $W_1$ ) and ( $W_3$ ) and optionally ( $W_4$ ), where the average molecular weight  $\overline{M}_w$  of ( $W_1$ ) is in the range from 2000 to 20,000, preferably from 3000 to 8000.

The concentration of (W) in ( $L_w$ ) may be as desired per se and can if desired extend up to the solubility limit of the entire active ingredient or active ingredient mixture (W), for example up to 70 % by weight, principally up to 40 % by weight of (W), it is particularly advantageously in the range from 0.1 to 40 % by weight, preferably from 0.2 to 30 % by weight of (W) in ( $L_w$ ).

The described aqueous solutions ( $L_w$ ) of the active ingredients (W) may, if desired, contain further suitable non-finishing formulation additives (F) for the purposes of better storage and/or use properties, in particular

(F<sub>1</sub>) at least one agent for retaining the physical form of the preparation and/or for avoiding adverse changes, for example adverse foaming, during application.

Non-finishing formulation additives (F<sub>1</sub>) which come into consideration are principally

(F<sub>11</sub>) antifoams

and (F<sub>12</sub>) agents for protecting against the damaging effect of microorganisms.

Suitable as (F<sub>11</sub>) are any desired antifoams, for example waxes, paraffins, vegetable or animal oils or mineral oils in disperse form, silicone antifoams, silicic acid, ethylenebisstearamide and/or mixtures of two or more thereof. In particular, it is possible to use commercially available preparations. The amounts of antifoam which can be used in the preparations according to the invention are in the ranges which are usual per se and are also dependent on the type and amount of the other components (W<sub>1</sub>) and, if used, (W<sub>2</sub>) and/or (W<sub>3</sub>). In general, very small amounts of antifoam, for example  $\leq 2$  % by weight, particularly from 0.01 to 1 % by weight, based on the total aqueous preparation ( $L_w$ ), are sufficient.

Suitable as (F<sub>12</sub>) are in general known substances, essentially fungal or bacterial growth-inhibiting substances and/or microbicides, as are commercially available, and the concentrations used can vary depending on the application [whether for protection of the solution ( $L_w$ ) or, if desired, also of the treated paper or board] and correspond to those recommended in each case; they are, for example  $\leq 2$  % by weight, particularly from 0.01 to 1 % by weight, based on the total aqueous preparation ( $L_w$ ).

The aqueous solutions ( $L_w$ ) contain the components (W), in particular (W<sub>1</sub>) and the optionally present further additives (W<sub>2</sub>), (W<sub>3</sub>) and/or (W<sub>4</sub>) in dissolved form. The additives (F) can, with the exception of a few of the antifoams (F<sub>1</sub>), also be in the form of a true or colloidal solution or, in the case of water-insoluble antifoams, such as, for example, waxes, paraffins or oils, also in the form of a dispersion

[where, if they are present at all, their proportion is so small that the aspect of ( $L_w$ ) is that of a clear solution]. A particular subject-matter of the invention is represented by the solutions ( $L_w$ ) which essentially consist of ( $W$ ), water and, if desired, ( $F$ ).

The solutions ( $L_w$ ) can be prepared by simple mixing of ( $W_1$ ) with water and, if desired, admixing of at least one of the components ( $W_2$ ), ( $W_3$ ) and ( $W_4$ ) and, if desired, addition of ( $F$ ), and can be handled, transported and/or used directly in the form in which they have been prepared. The concentration of ( $W$ ) can vary greatly depending on the type of application of the surface finishing agent. If very dilute solutions ( $L_w$ ) are used, it may also be of advantage first to prepare a concentrated solution ( $L_w$ ), for example with a ( $W$ ) content in the range from 5 to 70 % by weight, preferably from 10 to 40 % by weight, for the purposes of transport and storage, and then to dilute this to the desired use concentration with water, for example to a ( $W$ ) content in the range from 0.1 to 20 % by weight, preferably from 0.2 to 10 % by weight.

The "smoothing rolls" in the process of the invention may be any rolls or cylinders in the papermaking machine, in which the paper or board sheet treated with ( $L_w$ ) is subjected to pressure and smoothing, in particular in order to further compact the fibrous structure of the sheet and provide the surface with a corresponding gloss and/or smoothness. More particularly they include calenders, smoothing presses and drying cylinders.

The solutions ( $L_w$ ) are advantageously applied to the surface of the paper or board sheet ( $B$ ) in at least one suitable section of the papermaking machine in which the respective sheet ( $B$ ) is capable of taking up liquid, in particular where drying takes place, principally, for example, in the press section or preferably in the dry end before the smoothing press, and/or, for calendered paper, also in the re-moistening before calendering. The application of the solution ( $L_w$ ) advantageously takes place in such a way that ( $W_1$ ) is increased in concentration at the paper or board surface during drying by the respective rolls, in particular calenders. In particular, the solution ( $L_w$ ) can, for example, be sprayed onto the sheet ( $B$ ) or applied by means of rolls, either so that the sheet is not soaked with ( $L_w$ ) or alternatively so that a paper sheet can also be soaked with ( $L_w$ ), but is then dried in such a way that ( $W_1$ ) increases in concentration at the surface of the sheet during drying. ( $L_w$ ) is advantageously applied in such a concentration that the entire moisture content of the paper or board is in the range from 4 to 30 % by weight, preferably from 5 to 25 % by weight, in particular is in the range from 8 to 30 % by weight, preferably from 12 to 25 % by weight, in the dry end or is in the range from 4 to 16 % by weight, preferably from 5 to 14 % by weight, in the re-moistening before calendering..

The application of ( $L_w$ ) can be carried out on one or both sides, depending on the type and purpose of the paper or board. One-sided application is suitable, for example, for a cardboard top layer, for label, poster or packing paper. Two-sided application is suitable, for example, for graphic papers, book printing, magazine, newspaper, letter, drawing or office paper, or also for special single-layer types of cardboard, such as, for example Bristol board. ( $L_w$ ) is advantageously applied at such an application rate that the concentration of ( $W$ ), based on the dry substrate, is in the range from 0.005 to 8 g/m<sup>2</sup>, preferably from 0.02 to 2 g/m<sup>2</sup>, and the concentration of ( $W_1$ ), based on the dry (odry) substrate, is in the range from 0.005 to 5 g/m<sup>2</sup>, advantageously from 0.01 to 3 g/m<sup>2</sup>, preferably from 0.05 to 1 g/m<sup>2</sup>. The moist paper or board sheet which has been surface-treated with ( $L_w$ ) can then be fed through the respective rolls and dried, in particular smoothed by roll pressing, preferably through rolls or calenders of the dry or intermediate calender or smoothing press or through calenders for calendering.

The application of the ( $W$ ) solution ( $L_w$ ) is advantageously carried out in at least one suitable section of papermaking, advantageously in a section in which the support sheet has a relatively low moisture content, for example  $\leq 40\%$ , preferably  $\leq 30\%$ , so that the aqueous solution ( $L_w$ ) is distributed as uniformly as possible on the surface of the support sheet.

A suitable section of papermaking or board production is the dry end. If an application of ( $L_w$ ) is carried out in the dry end, it is advantageous to carry out neither surface sizing nor coating in this section. ( $L_w$ ) is advantageously applied in the dry end in or before the calenders to the support sheet which still has an inherent residual moisture as is usual in these sections of the papermaking machine, for example in the range from 4 to 30 % by weight, in particular from 8 to 30 % by weight, principally from 12 to 25 % by weight. In dry calenders, the solution ( $L_w$ ) can be applied, for example, by spraying or roll application, for example using analogous spray units or application rolls or other application systems, as known, for example, for surface sizing. For this type of application, preference is given to concentrated solutions ( $L_w$ ), for example those having a ( $W$ ) content in the range from 5 to 70 % by weight, preferably from 10 to 40 % by weight, in order to introduce as little additional water as possible, which then has to be evaporated. If ( $L_w$ ) is applied in the intermediate calenders, either a concentrated solution ( $L_w$ ), as in the dry calenders, can be applied or, if re-moistening with water, added to the moistening water in suitable concentration, or a dilute solution ( $L_w$ ), for example with a ( $W$ ) content of from 0.01 to 10 % by weight, preferably from 0.02 to 5 % by weight, can also be used for moistening the support sheet in the wet calenders. The drying can be carried out in a manner conventional per se, using the usual drying rolls and drying roll batteries and, if desired, calenders and calender batteries in the dry end, and under the drying temperature conditions which are usual therein, for example with dry steam or hot air or other heating systems, for example in the temperature range

from 100 to 250°C, and under the smoothing and roll pressure, in particular nip pressure and line pressure conditions, which are usual per se therein.

A further suitable section of papermaking or board production is calendering (calendering). If an application of ( $L_w$ ) is carried out in the re-moistening before calendering, the paper or board sheet can, if desired, also be lightly surface-sized or coated so long as the above-mentioned hydrophilicity of the dried sheet is maintained. ( $L_w$ ) is advantageously applied in the calendering section in or before the calenders to the support sheet which has a moisture content corresponding to re-moistening, as is usual for calendering, for example in the range from 4 to 16 % by weight, principally from 5 to 14 % by weight. Before calendering, the solution ( $L_w$ ) can, for example, be applied to the re-moistened sheet by spraying or with application rolls, for example using analogous spray or other application systems as are known, for example, for surface sizing. For this type of application, preference is given to concentrated solutions ( $L_w$ ), for example those having a (W) content in the range from 5 to 70 % by weight, preferably from 10 to 40 % by weight. However, ( $L_w$ ) can also be used with particular advantage in the re-moistening water; in this case, for example, either a concentrated solution ( $L_w$ ) in suitable concentration can be added to the re-moistening water, or a dilute solution ( $L_w$ ), for example with a (W) content of from 0.01 to 10 % by weight, preferably from 0.02 to 5 % by weight, can also be used for the re-moistening of the sheet. The calendering/drying can be carried out in a manner conventional per se, using the calenders and calender batteries usual in calendering, and under the usual conditions therein, for example with cooling of the calenders or temperature regulation with steam or other temperature regulation systems, for example in the temperature range from 40 to 120°C, and under the smoothing and calender pressure, in particular nip pressure and line pressure conditions, which are conventional per se therein.

The paper and board production rate can be maintained at the levels which are conventional per se, for example at from 60 to 1700 m/min, the process according to the invention enabling a surprisingly high utilization of the machine performance, since the surface finishing with ( $W_1$ ) according to the invention provides the surface of the paper or board sheet with a surprisingly good, substantially flake-free structure while simultaneously increasing the wet tear strength, so that production can take place at very high speed – for example from 500 to 1700 m/min, depending on the paper or board quality – and with optimum utilization of the machine performance, with the probability of production stoppages due to tearing of the sheet during production being significantly reduced.

The smoothing pressure and calender pressure, in particular nip pressure and line pressure conditions (or the line force) can also be maintained in the ranges which are conventional per se or even lower, for

example from 10 to 500 kN/m (particularly from 10 to 300 kN/m for graphic papers) depending on the machine section, for example from 20 to 200 kN/m in the calenders and from 100 to 500 kN/m during calendering, depending on the paper or board quality (for example from 100 to 200 kN/m for writing and printing paper, from 200 to 300 kN/m for art paper, from 400 to 500 kN/m for capacitor paper); due to the fact that papers and boards with optimum compressibility, crease tear and printability properties are obtainable in accordance with the invention, the roll and calender pressures can be reduced to a minimum, for example from 8 to 250 kN/m for graphic papers, for example from 15 to 150 kN/m in the calenders and for example from 40 to 250 kN/m during calendering, depending on the paper or board quality (for example from 40 to 150 kN/m for writing and printing paper and from 120 to 250 kN/m for art paper).

The quality of the paper and board produced in accordance with the invention is excellent, in particular if (W) is applied before calendering. The compressibility and suitability as graphic papers, in particular for writing and printing, particularly for offset printing, is surprisingly good. The paper and board sheets ( $B_w$ ) surface-finished with ( $L_w$ ) in accordance with the invention are also very resistant to yellowing caused by the action of light and/or heat, where, as antiyellowing agents, the surface finishing agents ( $L_w$ ) in accordance with the invention have a surprisingly good and durable action, even on use of very little active substance (W) or ( $W_1$ ) (for example as is sufficient to produce an also only unimolecular layer thickness).

Through use of solutions ( $L_w$ ) containing ( $W_3$ ), the tear strength properties and freedom from picking or the smoothness and the gloss can additionally be increased.

Particular colour effects and/or white effects can be achieved using ( $W_2$ )-containing solutions ( $L_w$ ). In particular – if ( $W_2$ ) is a dye or dye mixture ( $W_{21}$ ) – paper and board can be dyed with optimum dye yield; to this end, for example, a suitable dyeing auxiliary [for example an electrolyte as described above as diluent or ( $W_4$ ) and/or a levelling agent] can, for example, also be added, for example to the dye-containing solution ( $L_w$ ). Analogously, if ( $W_2$ ) is an optical brightener ( $W_{22}$ ), optically brightened paper or optically brightened board can be produced in optimum yield in this way.

Boards and papers ( $B_w$ ) which are distinguished by their white quality and their wet tear resistance, and which (especially the calendered ones) are distinguished by attractive gloss and optimum surface and structure, in particular also by their smoothness and compressibility, and by their suitability as graphic paper, particularly also for intaglio printing and offset printing, are obtainable in a very economical manner by the process according to the invention and with the surface finishing agents



(L<sub>w</sub>) according to the invention. The wood-containing paper and board sheets, in particular woodpulp-containing or lignin-containing paper or board sheets, which have been surface-treated with (L<sub>w</sub>) in accordance with the invention and which are distinguished by their high whiteness stability, should also be particularly emphasized.

The paper sheets and board sheets (B<sub>w</sub>) obtainable in accordance with the invention by surface treatment of (B) with (L<sub>w</sub>) as described are also a subject-matter of the invention, in particular the smooth and preferably calendered papers, especially graphic papers.

The paper or board sheets (B<sub>w</sub>) obtainable in accordance with the invention by surface treatment of (B) with (L<sub>w</sub>) can, as conventional per se, be readied for further use, for example by rolling up or cutting and then packing and supplied to the further use in this form, in particular for writing, printing or graphic processing in another manner, where they are also distinguished by their dimensional stability.

The paper or board sheets (B<sub>w</sub>) are highly suitable as graphic papers and boards, i.e. as substrates for graphic processing (particularly writing or printing) by application of corresponding writing or printing inks in the respective desired colours, types, application rates and patterns by the application methods selected in each case in accordance with the substrate and the desired effect. The papers and boards (B<sub>w</sub>) (whether in cut form as paper sheets or whether as a roll) are particularly suitable for printing, i.e. as printing papers or boards, by any desired printing methods which are conventional per se (principally letterpress printing, planographic printing, intaglio printing and repro printing), where they meet to a surprisingly high degree the requirements for printing paper and board or for printability as defined in the 2nd International Conference of the Specialist Graphic Institutes in 1953. Any desired suitable printing methods which are conventional per se and correspond to the particular nature of (B) can be used on the substrates (B<sub>w</sub>) according to the invention, particularly letterpress printing, newspaper printing and generally intaglio printing and offset printing, it being possible for interfering phenomena, such as picking, deposits on the rubber printing blanket and missing dots in intaglio printing to be substantially prevented or reduced to a non-interfering minimum. It is particularly worthy of mention that papers which are suitable per se for intaglio printing [i.e. principally wood-containing or lignin-containing types of paper containing at least 10 % (for example from 20 to 60 %) of woodpulp and a high proportion of used paper, also known as "natural intaglio printing paper"] are also highly suitable for offset printing if they have been surface-treated with (L<sub>w</sub>) in accordance with the invention to give papers (B<sub>w</sub>).

A further subject-matter of the invention is the process for the production of paper or board which has been written on, printed and/or graphically processed in another manner by application of at least one graphic ink pattern to a substrate consisting of paper or board, and drying, which is characterized in that the substrate used for this purpose is paper or board ( $B_w$ ) which has been surface-finished with ( $L_w$ ) as described above.

Especially printing processes as mentioned above can be used, principally intaglio printing, newspaper printing, letterpress printing and offset printing in general. In particular, a level, preferably size-free paper ( $B_w$ ) or a level, preferably size-free board ( $B_w$ ) can be used as substrate both for intaglio printing and for offset printing.

Printing can be carried out using any desired conventional printing inks which contain constituents which are conventional per se, essentially at least one dye and a suitable carrier or a suitable binder and optionally additives. As dyes, principally pigments (for example those as defined and also listed under "Pigments" in the Colour Index), optionally combined with mineral fillers, come into consideration, as usually employed in printing inks; as binders, principally resins, which are advantageously mixed with oils, come into consideration. The resins are mostly alkyd resins and/or or phenyl-modified colophony resins and can, if desired, be blended with further carrier substances, such as suitable types of asphalt; as oils, oxidatively drying oils (principally vegetable oils, in particular linseed oil or wood oil) and physically drying mineral oils can be employed. As additives, drying agents are advantageously employed, such as, for example, tin, cobalt or manganese salts, for example manganese octanoate, or/and optionally further additives, such as waxes. Such components and additives or corresponding printing inks are known in general terms and are described in large number in the specialist literature, for example in EP-A-42515, 228372 and 666293. If desired, however, water-borne printing inks can also be used, for example those as described in EP-A-633143. The composition of such printing inks is, for example,

- 10-35 % of colorant (consisting of 10-25 % of pigment and 0-15 % of mineral filler)
- 20-73 % of resins (if desired blended up to half with blend substances, such as asphalt or oxidatively drying oils)
- 15-60 % of mineral oil
- 2-12 % of additives (for example drying agents and, if desired, waxes).

For experimental purposes, it is also possible to use standard printing inks, as also commercially available (for example the test inks 40 8001 Inko® 11,2; 40 8002 Inko® 14,8; 40 8003 Inko® 19,5 and 40 8004 Inko® 25,0 from Farbenfabriken Michael Huber, Munich, Germany).

Clear prints with optimum colour body and fastness and very pure contours can be produced on the said substrates, with optimum printing properties, in particular without interfering flaking phenomena, ink deposits or missing dots or ink penetration.

In the following examples, the percentages denote percent by weight and the temperatures are indicated in degrees Celsius; "C.I." stands for "Colour Index". The polyethylene glycols employed in the following examples are commercially available products which are indicated with their average molecular weight and in which the molecular weight distribution is 99.6 % in the range from 0.4 to 2 times the stated molecular weight. The optical brightener C.I. Fluorescent Brightener 321 employed is used in the form of an aqueous 20 % solution, and the amounts employed are based on this form. The glyoxal crosslinking agent employed is used in the form of an aqueous 42 % solution, and the amounts employed are based on this form. The papers employed in the following examples are those produced in the paper mill and how they are employed in the respective paper production step which corresponds to the following examples.

The following solutions ( $L_w$ ) are employed:

#### Solution 1

100 g of polyethylene glycol 4000 in 900 g of water.

#### Solution 2

100 g of polyethylene glycol 4000 and 60 g of aqueous glyoxal crosslinking agent (Cartabond TSI) in 1115 g of water. Weight ratio between polyethylene glycol 4000 and glyoxal crosslinking agent = 4/1.

#### Solution 3

100 g of polyethylene glycol 4000 and 500 g of C.I. Fluorescent Brightener 321 in 1400 g of water.

#### Solution 4

100 g of polyethylene glycol 2000 in 900 g of water

Solution 5

100 g of polyethylene glycol 2000 and 500 g of C.I. Fluorescent Brightener 321 in 1400 g of water

Solution 6

100 g of polyethylene glycol 2000 and 79.3 g of aqueous glyoxal crosslinking agent (Cartabond TSI) in 1153.7 g of water. Weight ratio between polyethylene glycol 2000 and glyoxal crosslinking agent = 3/1.

Solution 7

100 g of polyethylene glycol 4000, 120 g of aqueous glyoxal crosslinking agent (Cartabond TSI) and 125 g of C.I. Fluorescent Brightener 321 in 1655 g of water. Weight ratio between polyethylene glycol 4000 and glyoxal crosslinking agent = 2/1.

Example 1

Paper used: uncalendered SCA paper produced in the paper mill (natural intaglio printing paper with a basis weight of 56 g/m<sup>2</sup>; pulp composition: more than 80 % of woodpulp and about 15-20 % of chemical pulp, additionally containing 33 % of kaolin as filler, produced without used paper at pH 5.5, for calendering in a supercalender).

The paper is cut into rectangles with a size of 1 m<sup>2</sup> and tensioned on a flat surface. Solution 1 is sprayed uniformly onto the paper at an application rate of 1.12 g/m<sup>2</sup> of solution 1, which corresponds to a moistening of 14 %, using a commercially available airbrush paint gun into the tank of which the corresponding amount of solution 1 had been introduced. The paper surface-treated in this way is calendered in a laboratory calender under the following conditions:

Roll surface temperature:	100°C
Line force:	52 kN/m
Speed:	10 m/min
Number of passages:	5

The application of polyethylene glycol 4000 is  $0.112 \text{ g/m}^2$ , which corresponds to an application of 0.2 % odry based on fibre material. The paper is conditioned and tested for mechanical and optical properties compared with a paper which has been produced from the same SCA starting paper with the same amount of distilled water instead of solution 1 in an otherwise identical manner. The results of the comparative test are shown in Table 1 below.

Table 1

	SCA paper with distilled water (comparison)	SCA with Solution 1 (Example 1)
Smoothness (PPS roughness) in $\mu\text{m}$	1.30	1.10
Gloss (Lehmann $75^\circ$ )	3.70	5.60
R 457 brightness with UV	68.3	69.5
R 457 brightness with UV after exposure for 4 days	66.2	68.8
Reflectance factor	73.5	74.2
Yellowness index	10,1	8.9
CIE whiteness index	46.4	49.4
Visual assessment on the calender	good	improved

Example 2

Paper used: uncalendered SCB paper produced in the paper mill (improved newsprinting paper with a basis weight of  $60 \text{ g/m}^2$ ; with a high used paper content and with calcium carbonate as filler, produced at pH 7.2, for calendering in a supercalender).

The paper is cut into rectangles with a size of  $1 \text{ m}^2$  and tensioned on a flat surface. Solution 1 is sprayed uniformly onto the paper at an application rate of  $1.8 \text{ g/m}^2$  of solution 1, which corresponds to

a moistening of 14 %, using a commercially available airbrush paint gun into whose tank the corresponding amount of solution 1 had been introduced. The paper surface-treated in this way is calendered in a laboratory calender under the following conditions:

Roll surface temperature:	100°C
Line force:	52 kN/m
Speed:	10 m/min
Number of passages:	5

The application of polyethylene glycol 4000 is  $0.18 \text{ g/m}^2$ , which corresponds to an application of 0.3 % odry based on fibre material.

#### Example 3

The procedure is the same as described in Example 2, with the difference that instead of solution 1, the same amount of solution 2 is applied.

#### Example 4

The procedure is the same as described in Example 2, with the difference that instead of solution 1, the same amount of solution 3 is applied.

The SCB papers treated in Examples 2, 3 and 4 are conditioned and calendered as in Examples 1 and 2 and then tested for mechanical and optical properties compared with a paper produced from the same SCB starting paper with the same amount of distilled water instead of solution 1, 2 or 3 in an otherwise identical manner. The results of the comparative tests are shown in Table 2 below.

Table 2

	SCB paper with distilled water (comparison)	SCB paper with solution 1 (Example 2)	SCB paper with solution 2 (Example 3)	SCB paper with solution 3 (Example 4)
Smoothness (PPS roughness) in $\mu\text{m}$	1.23	1.07	1.10	1.12
Gloss (Lehmann 75°)	3.50	5.0	4.0	4.1
R 457 brightness without UV	66.1	67.0	66.8	67.2
R 457 brightness with UV	67.7	68.2	67.9	70.8
R 457 brightness with UV after exposure for 4 days	65.1	67.1	66.3	69.5
Reflectance factor	71.8	72.5	71.9	72.9
Visual assessment on the calender	slight sticking to the calender	very good sheet delivery	very good sheet delivery	good sheet delivery

Example 5

Paper used: wood-containing (= w.c.) base paper for coating, produced in the paper mill (with a basis weight of 36 g/m<sup>2</sup>; pulp composition: 60 % of woodpulp and 40 % of chemical pulp, additionally containing 9.5 % of filler).

The paper is cut into rectangles with a size of 1 m<sup>2</sup> and tensioned on a flat surface. Solution 4 is sprayed uniformly onto the paper at an application rate of 0.729 g/m<sup>2</sup> of solution 4, which corresponds to a moistening of 14 %, using a commercially available airbrush paint gun into whose tank the corresponding amount of solution 4 had been introduced. The paper surface-treated in this way is calendered in a laboratory calender under the following conditions:

Roll surface temperature: 100°C  
Line force: 52 kN/m  
Speed: 10 m/min  
Number of passages: 1

The application of polyethylene glycol 2000 is 0.072 g/m<sup>2</sup>, which corresponds to an application of 0.2 % odry based on fibre material.

#### Example 6

The procedure is the same as described in Example 5, with the difference that instead of solution 4, the same amount of solution 5 is applied.

#### Example 7

The procedure is the same as described in Example 5, with the difference that instead of solution 4, the same amount of solution 6 is applied.

The papers treated in Examples 5, 6 and 7 are conditioned, smoothed and tested for optical properties compared with a paper produced from the same SCB starting paper with the same amount of distilled water instead of solution 1, 2 or 3, in an otherwise identical manner. The results of the comparative tests are shown in Table 3 below.



Table 3

	w.c. base paper for coating, with distilled water (comparison)	w.c. base paper for coating, with solution 4 (Example 5)	w.c. base paper for coating, with solution 5 (Example 6)	w.c. base paper for coating, with solution 6 (Example 7)
R 457 brightness without UV	68.1.	68.4	68.9	68.2
R 457 brightness with UV	69.1	69.8	71.1	69.3
Reflectance factor	73.0	73.6	74.2	73.4
R 457 brightness without UV after exposure for 4 days	67.8	68.5	70.6	68.1
R 457 brightness without UV after heating at 100°C for 2 hours	65.1	66.7	68.4	66.4

Example 8

Paper used: wood-free (= w.f.) coated base paper produced in the paper mill (with a basis weight of 80 g/m<sup>2</sup>; containing 12 % of filler).

The paper is cut into rectangles with a size of 1 m<sup>2</sup> and tensioned on a flat surface. Solution 4 is sprayed uniformly onto the paper at an application rate of 1.2 g/m<sup>2</sup> of solution 4, which corresponds to a moistening of 14 %, using a commercially available airbrush paint gun into whose tank the corresponding amount of solution 4 had been introduced. The paper surface-treated in this way is calendered in a laboratory calender under the following conditions:

Roll surface temperature: 100°C  
 Line force: 52 kN/m

Speed: 10 m/min  
Number of passages: 1

The application of polyethylene glycol 2000 is  $0.12 \text{ g/m}^2$ , which corresponds to an application of 0.15 % odry based on fibre material.

The w.f. paper treated in Example 8 is conditioned, smoothed and tested for optical properties compared with a paper produced from the same w.f. starting paper with the same amount of distilled water instead of solution 4, in an otherwise identical manner. The results of the comparative test are shown in Table 4 below.

Table 4

	w.f. base paper for coating, with distilled water (comparison)	w.f. base paper for coating, with solution 4 (Example 8)
R 457 brightness without UV	86.2	87.1
Reflectance factor	88.1	89.2
R 457 brightness without UV after exposure for 4 days	85.5	86.7
R 457 brightness without UV after heating at 100°C for 2 hours	83.1	84.8
Reflectance factor after heating at 100°C for 2 hours	87.0	88.7

The tests carried out in the examples are carried out in accordance with the following specifications:

- Determination of the weight per unit area (basis weight) of paper and cardboard in accordance with DIN specification = ISO 536;
- Parker-Print-surf (PPS) roughness in accordance with BS 6563 (1985);

- Lehmann 75° gloss;
- R 457 brightness in accordance with DIN 53245, Parts 1 + 2;
- Reflectance factor DIN 53145, Part 1;
- Yellowness index DIN 53145.

#### Example 9

Paper used: uncalendered SC-A paper produced in the paper mill (natural intaglio printing paper with a basis weight of 56 g/m<sup>2</sup>; pulp composition: > 70 % of woodpulp and about 15 % of gray paper in the form of DIP (deinked paper) and 12-15 % of chemical pulp, additionally containing 33 % of kaolin as filler, produced without used paper at pH 6.8, for calendering in a supercalender).

The paper is rolled up on rolls with 80 cm breadth and packed. In a pilot plant corresponding to large scale conditions, solution 7 is applied at a paper speed of 800 m/min. in the following concentration

0 % (= only water) which is the blanc

8 % Solution 7 (= 0.4 % polyethylene glycol 4000)

The so treated paper is calendered in a calender by the Janus principle (Producer Voith Sulzer Krefeld) with 10 plastic-crowned rolls at a temperature of 130°C and a speed of 1200 m/min. and under the following calendering conditions:

- A load 300 KN/m, with and without steam dampening
- B load 400 KN/m, with and without steam dampening

the following results are obtained

Table 5

Sample	Gardner gloss	calender blackening	load in KN/m
Blanc	53.2	54.9	400
SC-A paper with solution 7	53.3	52.8	300

from which results that

- 1) at a same gloss improved calender blackening values result
- 2) at a same gloss the calender load may be substantially lowered, which leads to improved properties (folding endurance, opacity, lightness) of the calendered paper

#### Print examples

##### Print Example A

An intaglio test printing machine [Testacolor, Prüfbau Einlehner (System Haindl)] [A. Brennig – Comparison of two different intaglio test printing machines - Wochenblatt für Papierfärber, 106, pp. 301-304 (1978) No. 8]

2 cylinders are available for the intaglio test printing machine, namely:

- a) conventionally etched
- b) electromechanically engraved.

The viscosity setting of the ink is tested using a Ford cup. The intaglio print is assessed for

- print gloss
- missing dots
- blackening.

The optical classification of the intaglio printing test prints in full tone, half tone and perfecting print shows that using the paper produced in accordance with Example 1, the print gloss (measured using a Zeiss goniophotometer on printed full-tone areas) is increased by 27 % compared with the corresponding untreated paper and using the paper produced in accordance with Example 2, the print gloss is increased by 23 % compared with the corresponding untreated paper.

Visual assessment for missing dots shows that the prints on papers produced in accordance with Example 1 and 2 have significantly fewer missing dots than the corresponding prints produced by comparison on the corresponding untreated comparative papers.

Print example B

Using test inks 40 8001 Inko 11,2 and 40 8002 Inko 14,8 from Farbenfabriken Michael Huber Munich, papers produced in accordance with Example 3 are printed in comparison with untreated paper in accordance with the "picking test" working instructions from Farbenfabriken Michael Huber Munich using the following data on the offset test printing unit from Prüfbau (Germany):

## Ink supply for inking unit

Natural papers                      0.4 cm<sup>3</sup> (rubber plate)

Inking time                          30 seconds

## Contact pressure during printing

    Metal plate                      20 kN/m

    Rubber plate                    10 kN/m

Printing plate width              2 or 4 cm

Printing speed                    0-4 m/sec (pendulum or with spring tension).

The first visible damage to the ink film is assessed as commencement of picking. The paper produced in accordance with Example 3 has significantly better behaviour in the picking test with both test inks compared with the corresponding untreated comparative paper.

PATENT CLAIMS

1. Process for the production of surface-finished paper or board ( $B_w$ ), characterized in that an aqueous solution ( $L_w$ ) of a surface-finishing active ingredient ( $W$ ) is applied to a hydrophilic paper or board sheet ( $B$ ),  
in which ( $W$ ) consists of  
    ( $W_1$ ) polyethylene glycol with an average molecular weight  $\overline{M}_w$  of  $> 1500$   
    and optionally at least one further additive which is a further finishing additive and/or a formulation additive,  
and the paper or board sheet surface-treated with ( $L_w$ ) is fed through smoothing rolls and dried.
2. Process according to Claim 1, characterized in that ( $W$ ) consists of at least 30 % by weight of ( $W_1$ ) and any remainder to 100 % by weight of at least one further of the finishing additives ( $W_2$ ) and ( $W_3$ ) and/or formulation additives ( $W_4$ ), in which  
    ( $W_2$ ) is at least one dye and/or optical brightener,  
    ( $W_3$ ) is at least one wet strength additive  
and ( $W_4$ ) is at least one agent for pH adjustment.
3. Process according to Claim 1 or 2, characterized in that ( $W$ ) contains at least one non-finishing formulation additive ( $F$ ).
4. Process according to one of Claims 1 to 3, characterized in that ( $L_w$ ) essentially consists of ( $W$ ) and water.
5. Process according to one of Claims 1 to 4, at a line pressure of the smoothing rolls in the range of 8 to 500 KN/m.
6. Process according to one of Claims 1 to 5, characterized in that the paper or board sheet surface-treated with ( $L_w$ ) is calendered.
7. Surface finishing agent for paper or board which is a solution ( $L_w$ ) as defined in Claim 4, wherein ( $F$ ) is selected from,  
    ( $F_{11}$ ) antifoams  
and ( $F_{12}$ ) agents for protecting against the damaging effect of microorganisms,  
and with a ( $W_1$ )-content in the range of 0.1 to 20 %.

8. Surface finishing agent according to Claim 7 which is an antiyellowing agent for paper or board.
9. Paper or board (B<sub>w</sub>) surface-finished in accordance with any one of Claims 1 to 6.
10. Paper or board (B<sub>w</sub>) according to Claim 9 which is essentially size-free and is simultaneously intaglio printing and offset printing paper or board.
11. Process for the production of graphically processed paper or board by application of at least one graphic ink pattern to a substrate of paper or board, and drying, characterized in that the substrate used for this purpose is surface-finished paper (B<sub>w</sub>) or surface-finished board (B<sub>w</sub>) according to Claim 8 or 9.